

# A neural network model for cursive script production\*

## Daniel Bullock <sup>1</sup>, Stephen Grossberg <sup>2</sup>, Christian Mannes <sup>3</sup>

Center for Adaptive Systems and Department of Cognitive and Neural Systems, Boston University, 111 Cummington Street, Boston, MA 02215, USA

Received: 4 November 1992/Accepted in revised form: 21 April 1993

Abstract. This article describes a neural network model, called the VITEWRITE model, for generating handwriting movements. The model consists of a sequential controller, or motor program, that interacts with a trajectory generator to move a hand with redundant degrees of freedom. The neural trajectory generator is the vector integration to endpoint (VITE) model for synchronous variable-speed control of multi-joint movements. VITE properties enable a simple control strategy to generate complex handwritten script if the hand model contains redundant degrees of freedom. The proposed controller launches transient directional commands to independent hand synergies at times when the hand begins to move, or when a velocity peak in a given synergy is achieved. The VITE model translates these temporally disjoint synergy commands into smooth curvilinear trajectories among temporally overlapping synergetic movements. The separate "score" of onset times used in most prior models is hereby replaced by a self-scaling activity-released "motor program" that uses few memory resources, enables each synergy to exhibit a unimodal velocity profile during any stroke, generates letters that are invariant under speed and size rescaling, and enables effortless connection of letter shapes into words. Speed and size rescaling are achieved by scalar GO and GRO signals that express computationally simple volitional commands. Psychophysical data concerning hand movements, such as the isochrony principle, asymmetric velocity profiles, and the two-thirds power law relating movement curvature and velocity arise as emergent properties of model interactions.

## 1 Introduction

Skilled handwriting generally involves the coordinated action of a large number of joints, from the shoulder down to the joints of the fingers, each of which must be controlled by the muscle groups attached to them. This paper addresses how the kinematics of these joints may be controlled to produce the shapes of cursive script. In particular, we consider what the natural variables for the control of handwriting could be, to find out which parts of movement are explicitly planned – the motor program – and which parts are emergent properties of neural and mechanical interaction as the spatiotemporal motor trajectory unfolds.

A great deal of research has been devoted to explaining the kinematic signatures of point-to-point movements, such as the velocity and acceleration traces of joints during reaching. In particular, the vector integration to endpoint (VITE) model (Bullock and Grossberg 1988, 1991), upon which the model described in this paper is based, has been successful in explaining the generation of synchronous multi-joint reaching trajectories at variable speeds. However, handwriting goes far beyond simple point-to-point movement. The smooth, curved trajectories of a pen tip in cursive script express a motor plan that schedules and coordinates the time course of action of arm and hand synergies. Analyzing the geometry of a hand, one finds that no mere concatenation of point-to-point movements can produce the complex shapes of script. Rather, such trajectories appear to be generated by component synergies that overlap in time; that is, elementary actions have to be superimposed.

Superimposition of elementary strokes is a common assumption among modelers of handwriting (e.g., Morasso and Mussa-Ivaldi 1982; Morasso et al. 1983; Edelman and Flash 1987; Plamondon 1989, 1992; Schomaker et al. 1989). Models differ in the constraints they place on stroke superimposition. Schomaker et al. (1989), as well as Plamondon (1989, 1992), assume essentially arbitrary timing relations between onsets of overlapping movement components, whereas Morasso et al. (1983) constrain stoke superimposition by limiting the number of strokes that are concurrently executed to two.

<sup>\*</sup> This is Boston University Technical Report CAS/CNS TR-92-029 Correspondence to: S. Grossberg

<sup>&</sup>lt;sup>1</sup> Supported in part by the National Science Foundation (NSF IRI 90-24877 and NSF IRI 87-16960) and the Office of Naval Research (ONR N00014-92-J-1309)

<sup>&</sup>lt;sup>2</sup> Supported in part by the Air Force Office of Scientific Research (AFOSR F49620-92-J-0499), ARPA (AFOSR 90-0083), the National Science Foundation (NSF IRI 90-24877 and NSF IRI 87-16960), and the Office of Naval Research (ONR N00014-92-J-1309)

<sup>&</sup>lt;sup>3</sup> Supported in part by the National Science Foundation (NSF IRI 90-24877)

Another important issue in handwriting is the choice of the most appropriate coordinate system for movement planning. Psychophysical studies of handwriting and drawing (Morasso 1981, 1986) have shown that the spatial trajectory is more invariant than the joint rotations, or than force-time patterns (Teulings et al. 1986). Based on these findings, models for script generation have been proposed that assume planning in two-dimensional (2-D) or three-dimensional (3-D) space, with a continuous mapping from this space into the joint space that controls motor execution. Most models assume planning in a system with two-degrees of freedom (DOFs) for instance 2-D cartesian space (Edelman and Flash 1987; Schomaker et al. 1989). In particular, Schomaker et al. (1989) use a sinusoidal basis function. Plamondon (1989, 1992) describes pen-tip trajectories in terms of differential geometry, using curvilinear and angular velocity generators. Dooijes (1983) proposes non-orthogonal "principle axes", and uses linear trend, the slow left-to-right motion that occurs during writing, as a third DOF. In these models, parameters are externally chosen to adjust the onset and offset times, durations and amplitude and phase lags of component velocity profiles.

The VITEWRITE model, which is summarized in Fig. 1, approaches the problems of the synergy control and DOFs from a different perspective. It takes advantage of the fact that the human arm and hand have redundant DOFs. The model demonstrates that these redundant DOFs can be used to simplify the problem of motor planning. In particular, the VITEWRITE model demonstrates how a simple, but novel, type of motor program can control writing movements that exhibit many properties of human handwriting when it interacts with a suitably defined VITE trajectory generator coupled to a hand with redundant DOFs. Our results thus extend the applicability of the VITE model from the control of reaching behaviors to the control of complex curvilinear trajectories.

Using a hand with redundant DOFs, here taken to be three, simplifies the motor program, or plan, in at least three ways. First, each of the three motor synergies of such a hand can be controlled with unimodal velocity profiles. Second, the motor program consists of a discrete set of difference vectors that are read into a VITE circuit at prescribed times. These difference vectors represent the direction and desired amount of contraction of a motor synergy. They are called *planning vectors* and are denoted by DV<sub>p</sub> below. Third, the motor program automatically launches transient directional commands to the synergies at only two phases in a movement – when the hand begins to move, or when a peak velocity in one of the synergies is achieved.

Such a motor program can be utilized with a VITE model because the VITE model contains a processing stage at which an outflow representation of intended movement velocity is represented. This is the  $DV_m$  GO stage that is described below. The difference vectors  $DV_m$  that are multiplied by the GO signal are used to form continuous movement trajectories. They are not the discrete planning vector  $DV_p$ . The continuously changing  $DV_m$  vectors are called movement vectors. The GO



Fig. 1. Schematic of the VITEWRITE model: A vector plan functions as a motor program that stores discrete planning difference vectors  $DV_p$  in a working memory. A GRO signal determines the size of script and a GO signal its speed of execution. After the vector plan and these will-to-act signals are activated, the circuit generates script automatically. Size-scaled planning vectors  $DV_p \cdot GRO$  are read into a target position vector (TPV). An outflow representation of present position, the present position vector (PPV), is subtracted from the TPV to define a movement difference vector  $(DV_m)$ . The DV<sub>m</sub> is multiplied by the GO signal. The net signal  $DV_m \cdot GO$  is integrated by the PPV until it equals the TPV. The signal  $DV_m \cdot GO$  is thus an outflow representation of movement speed. It is used to automatically trigger read-out of the next planning vector  $DV_p$ . See text for details

signals that multiply the movement vectors are "will to act", or analog speed, signals that activate a motor synergy if its DV<sub>m</sub> is not equal to zero. The DV<sub>m</sub>·GO outflow commands then continuously move the synergy towards a desired target configuration until its DV<sub>m</sub> equals zero. The maxima in time of these DV<sub>m</sub>·GO outflow commands, in turn, can be used as control signals to read-out the next planning vector. Using this type of internal feedback loop, an increase in the GO signal can speed up a handwritten movement without changing its form. In a similar way, the GRO signal (defined below) can multiply the planning vectors DV<sub>p</sub> before the net signals DV<sub>p</sub>·GRO arrive at the VITE model, resulting in a handwritten movement of different size but the same form.

In summary, the VITEWRITE model converts the motor program's temporally discrete and disjoint planning vectors  $DV_p \cdot GRO$  into smooth curvilinear trajectories among temporally overlapping synergetic movements. The unimodal temporal shapes of the  $DV_m \cdot GO$  outflow velocity commands to the motor synergies are an emergent property of the entire VITEWRITE circuit. When a peak in one synergy's  $DV_m \cdot GO$  function is attained, it can activate read-out of a planning vector from the motor program to the VITE circuitry that controls other synergies. The motor program of the VITEWRITE model thus does not require storage of within-stroke time lags, uses few memory resources to store the planning vectors, employs activity-based DV<sub>m</sub> · GO decisions to automatically read-out the planning vectors, achieves speed and size rescaling in response to scalar GRO (size) and GO (speed) acts of will, and provides effortless concatenation of letter shapes into words.

The VITEWRITE model also retains desirable properties of the VITE model that were disclosed in previous studies of VITE-controlled reaching. Indeed, the plausibility of a role for the VITE model in the control of handwriting was soon noticed after its announcement by Bullock and Grossberg (1988), since VITE, by itself, generates as emergent properties several key properties of handwriting data, including the isochrony principle (Schomaker et al. 1989; Viviani and Terzuolo 1983), or the tendency for strokes of different size to be completed with approximately equal duration; skewed velocity profiles (Wann et al. 1988), typically with faster rise and slower fall in velocity; the synthesis of continuous complex movements from unit segments (Soechting and Terzuolo 1987); and the tendency of maximal curvatures of a trajectory to occur at locations of minimum velocity (Abend et al. 1982; Fetters and Todd 1987; Viviani and Terzuolo 1980).

While many models of handwriting movement generation in the literature are aimed at reproducing the script of individual humans as exactly as possible (e.g., Plamondon 1992; Schomaker et al. 1989), this paper is concerned with the psychophysical properties and neural control of handwriting as a general skill, including the choice of the most appropriate coordinate system, the effects and possible benefits of motor redundancy, the design of the trajectory generator, and the organization of the planning strategies whereby elementary strokes are generated and superimposed to produce the smoothly curved trajectories of handwriting. The model is defined and analyzed in Sect. 3–7 after various issues in the handwriting literature are described in Sect. 2

#### 2 Issues in handwriting

At the lowest level, any motor activity is expressed as the contractile state of agonist-antagonist muscle pairs over time, which are changed by neural control signals sent to these muscles. The simplest motor command, therefore, changes the angle of a joint from one value to another, and for a rotary joint the cartesian space motion of the distal end of the segment is curved. Likewise, the cartesian end-effector trajectory formed by straight-line trajectories planned in a multi-joint space is typically curved. For many tasks, it may be that a neural controller specifies desired trajectories in a 3-D spatial coordinate system, for example body-centered polar or cartesian, and subsequently maps the resulting trajectory into joint angle changes (Greve et al. 1992; Bullock et al. 1993). The resulting trajectory in this case would then be a straight line in 3-D space, but curved in joint space.

Skilled writers are able to fluently produce both straight and curved trajectories with their pen. In cartesian space, straight strokes can be produced simply by combining two orthogonal components with a constant ratio between their velocities. Curved strokes, however, require component velocity profiles whose onsets and offsets are shifted in time with respect to each other. Thus curved strokes are more complex. The opposite complexity ordering is true for a system that plans strokes in joint space. Which movements are simpler, and which are more complex, depends on the coordinate system chosen.

Psychophysical evidence supports the inference that arm movement planning often occurs in a spatial coordinate system. A comparison of end-effector and joint angle velocity profiles for planned arm movements has shown that the former are more invariant than the latter (Morasso 1981; Abend et al. 1982). Also, it was found that the spatial characteristics of script are quite similar even across different effector systems, e.g. across handwriting and armwriting with hand joints fixed. The spatial trajectory was also found to be more invariant than forcetime patterns (Teulings et al. 1986).

These observations do not exclude other possibilities. In particular, studies also show that many free movements exhibit both curved end-effector trajectories and a tendency to avoid reversals of the direction of joint rotations during movement (e.g., Hollerbach et al. 1986). This suggests that the system may be able to operate in various modes, and that component-wise point-to-point joint-space planning, which avoids joint reversals, may be used whenever the task and limb geometry allow such planning. Whereas armwriting may require spatial trajectory planning, handwriting - that is writing at a scale appropriate to the hand's DOFs - may only require joint space planning. We show below that given suitable DOFs defined by hand muscle synergies, the resultant "elementary" movements of the hand approximate straight lines. However because of the special nature of these joint coordinates, most of our results regarding intrinsic timing and stroke planning are directly transferable to larger-scale writing, even if the latter is planned in spatial coordinates before translation into muscle or joint coordinates. A system capable of self-organizing such spatial coordinates and a spatial-to-motor mapping has been described elsewhere (Greve et al. 1992; Bullock et al. 1993).

Two prior models are especially relevant to our construction. Schomaker et al. (1989) argue for a spatial cartesian coordinate system on the grounds of spatial invariance, and consequently face the problem of how to produce curved trajectories. Their solution is based on precise control of the time lag between the respective onsets of horizontal and vertical displacements in time. The parameters they use to characterize a stroke, or movement between two zero crossings in the velocity domain, are horizontal and vertical displacement and stroke duration, which modulate a sinusoidal velocity



b

Fig. 2. The effect of shape parameters of Schomaker et al. (1989): The curvature depends on which of the orthogonal components (horizontal and vertical) leads in time. Reproduced in modified form from Schomaker et al (1989)

Fig. 3. A stroke that is greatly simplified by use of a third degree of freedom (DOF) Left: With two DOFs, a stroke as shown in the middle can only be obtained by a mix of bimodal and unimodal velocity profiles, since the horizontal component is non-zero before and after the bend. Right: Using a third DOF (R), which acts much like X, allows production of the same shape with only unimodal velocity profiles. This presumably simplifies neural control

function. An additional shape factor determines degree and direction of curvature by setting the relative phase of velocity zero crossings in the horizontal and vertical components. An example of shape control by a velocity component phase shift is shown in Fig. 2.

а

An alternative to the model of Schomaker and colleagues has been introduced by Plamondon (1989). It generates trajectories by superposing a curvilinear and an angular velocity command, rather than by combining orthogonal component velocities as in most other treatments. The velocity profile in Plamondon's model are not sinusoidal (see also Nagasaki 1989), and are similar to VITE-generated profiles (see Sect. 4 below). Plamondon proposes that such profiles may arise as the output of a filter cascade perturbed by a square-wave input pulse. The VITE theory proposes a fundamentally different mechanism to explain the origin of the non-sinusoidal velocity profiles observed in voluntary movements. Otherwise, Plamondon's model is similar to the Schomaker et al. model in that it parameterizes the duration, amplitude, and relative phase of the two velocity components. Both models estimate their parameters from measurements of actual script.

In a model that assumes planning in two dimensions, the trajectory is generated by a two-DOF system. The number of DOFs involved in handwriting, however, is much larger, involving every joint from the shoulder to the fingers. Even if we restrict our considerations to the hand, we find that the wrist has three DOFs and each finger exhibits four. The most important components are finger extension/retraction, horizontal wrist rotation, and vertical wrist rotation (supination/pronation), a three-DOF system.

We suggest that this extra, third DOF can be used to reduce the complexity of both the motor program and the neural trajectory generator. As an example, consider the simple stroke depicted in Fig. 3. In cartesian space, this stroke can be generated by a mix of unimodal and bimodal velocity profiles with unequal component movement durations, as shown in Fig. 3a. By adding a third DOF, which, at least in this example, acts in much the same way as the horizontal component, the same stroke can now be generated using only unimodal, bell-shaped velocity profiles with equal durations. Thus, a redundant DOF can be used to reduce the complexity of trajectory generation. In turn, a trajectory generator constrained to generate unimodal velocity profiles could help to reduce

ŝ



Fig. 4. The geometric model of the hand to be controlled, with three DOFs: finger extension/retraction, which moves the pen along the up-down (Y) axis; vertical wrist rotation (supination/pronation), which has the effect of moving the pen along the leftright (X) axis; and horizontal wrist rotation (R), which has two effects, rotating the other two axes, and moving the pen left-right

the number of solutions of the inverse kinematics problem that the nervous system faces in planning the execution of complex movement.

Bullock et al. (1993) have addressed the issue of redundant motor control with a model of goal-oriented reaching that is called the DIRECT model. This model suggests a solution to the motor equivalence problem wherein visual information about target and end effector positions in 3-D space are transformed into spatial direction vectors. Spatial direction is adaptively mapped into joint rotations which move the effector in the desired spatial direction, given the current effector configuration. In a redundant system, the mapping from spatial direction to motor commands is one-to-many; that is, there might be many ways to move an effector like the hand towards a spatially defined target. The constraint outlined above might help to reduce the number of possible ways. In Sect. 5, we will demonstrate that a rich set of realistic letter shapes can be produced even if the phase relations between component movements are constrained to be either 0 deg or 90 deg. Such a constraint in the timing domain might further simplify the inverse kinematics problem.

The three main aspects of the VITEWRITE model are defined below: a geometrical model of the hand, a VITE neural trajectory generator, and a vector motor plan. Our main suggestion about the read-out of planning vectors is that, by using a redundant hand, precise extrinsic control of onset and offset timing is unnecessary, and can be replaced by an activity-released command scheme, such that the onset times of later movement components are automatically determined by events in the trajectory generator itself.

# 3 Geometry of the hand

As noted above, the number of motor segments used in handwriting is large, involving every joint from the shoulder to the fingers. Here, we restrict our analysis to the hand only, which still has a total of seven DOF from the wrist to the fingertip. Most of these joints operate in concert during handwriting to control three main sets of synergists. Accordingly, our hand model has three DOFs: vertical wrist rotation (supination/pronation called X), finger extension/retraction (called Y), and horizontal wrist rotation (called R), as in Fig. 4.

A further simplification is made by considering the relative scales of hand movement that are characteristic of skilled handwriting. Both the effects of finger extension and vertical wrist rotation in handwriting are small in relation to the total range (cf. Lacquaniti et al. 1987), and the radius of horizontal wrist rotation is rather large in relation to finger extension and vertical wrist rotation. The trajectories of each of these components are thus good approximations to straight lines. Therefore, we further simplify the geometrical hand model by modelling both X (vertical wrist rotation) and Y (finger extension) as an orthogonal system of spatially straight lines. However, since these axes of movement are mounted on the hand (and not fixed with respect to the drawing surface), this coordinate system can be rotated by horizontal wrist motion.

Under these assumptions, if the wrist is located at spatial location (0,0), then the pen tip, or end-effector location  $(E_x, E_y)$  can be found by

$$E_x = (l+y)\sin(r) + x\cos(r) \tag{1}$$

$$E_{y} = (l+y)\cos(r) - x\sin(r) \tag{2}$$

where x and y denote the X and Y excursions, respectively, and r stands for the horizontal angle of the hand with respect to the arm. The length of the hand from the wrist to the knuckles, denoted as l, is large relative to the X, Y and R excursions.

# 4 Synchronous trajectory formation by vector integration to endpoint

The VITE model of Bullock and Grossberg (1988, 1991) is a neural model of how the outflow commands that control multi-joint motor trajectories are formed. In particular, the model clarifies the intimate linkage that exists between movement properties of synergy, synchrony, and speed. It shows how a group of effectors may be dynamically bound into a motor synergy and, once bound, how the synergy can perform synchronous movements at variable speeds. The VITE model outputs are the input to a neural model called FLETE. The FLETE model (whose name stands for "factorization of length and tension") clarifies how outflow movement commands from a VITE circuit may be accurately performed at variable stiffness levels without loss of positional accuracy (Bullock and Grossberg 1991; Bullock et al, 1992). Whereas the VITE model is interpreted in terms of neural data about brain regions such as parietal cortex, motor cortex, and basal ganglia, the FLETE model is interpreted in terms of neural data about the spinal cord and cerebellum.

The VITE model has been used to explain many kinematic properties of synchronous multi-joint movement, such as bell-shaped velocity profiles, peak acceleration as a function of movement amplitude, Woodworth's law, Fitt's law, velocity amplification during target switching, normalized velocity profile invariance across different distances, and velocity profile asymmetry as a function of duration. These computational properties, along with the neural and behavioral evidence supporting the assumptions of the VITE model, make it a reasonable starting point for an analysis of trajectory formation during handwriting.

The VITE circuit consists of four neural stages that are depicted in Fig. 5: The first stage, the target position



Fig. 5. The VITE circuit, the neural controller of each component agonist-antagonist pair of the hand

vector (TPV) stage, receives desired positions coded in terms of muscle lengths from higher stages. The present position vector (PPV) stage, which integrates its inputs over time, generates outflow movement signals to spinal neuron pools, which in turn act on muscles capable of moving the arm. The difference vector (DV) stage continuously computes the difference between PPV and TPV using excitatory outflow signals from the TPV and inhibitory corollary discharge, or efference copy, signals from the PPV. This DV is denoted by  $DV_m$  in Fig. 1. Outflow from the DV to PPV is multiplied, or gated, by a non-specific GO signal. Before any movement begins, a desired position command may be loaded into the TPV and relayed to the DV. This operation is called motor priming (Georgopoulos et al. 1984). Until the GO signal grows positive, however, no change in PPC can occur. Once the GO signal becomes positive, the PPV can start integrating signals at the rate GO DV. This multiplicative interaction maintains the direction coded by DV while modulating the speed of movement in this direction. The size of the GO signal is assumed to grow monotonically once a movement is initiated.. Since the PPV integrates DV · GO, the rate of change of the outflow PPV signal, namely d/dt PPV, tracks DV GO. Thus DV · GO provides an internal measure of the commanded movement velocity. The DV is driven to zero by inhibitory feedback from PPV to DV as the PPV approaches the TPV. The system thus equilibrates when the PPV equals the TPV.

Since the GO signal multiplies all outflow commands from the DV equally, all components of a given motor synergy tend to complete their movement synchronously, regardless of GO signal magnitude or component movement amplitude. Even when different components are switched on at different times, their movements tend to terminate at the same time. This is called the temporal equifinality property for staggered onsets (Bullock and Grossberg 1988). This is an important property for stably controlling a temporal series of movements during which one synergy precedes the next. For example, consider a task where an arm needs to reach in one direction before shifting to reach in another direction. The synchronous, temporally equifinal completion of the first reach enables the second reach to be launched without causing an uncontrollable change of direction. Such a destabilizing change could occur if some, but not all, components of the first synergy were still contracting while the second synergy was activated.

# 5 Coordination of multiple motor synergies with asynchronous onsets and offsets

Not all movements are controlled, however, by a serial read-out of one synergy at a time. As noted above, the production of curved trajectories during handwriting requires that distinct movement components have distinct but overlapping velocity profiles. These phase lags suggest that the synergies we have identified in the last section (finger extension, horizontal wrist rotation, and vertical wrist rotation) need to violate the equifinality property. If all synergies of the hand were grouped into one TPV with a single GO signal, the VITE circuit would work towards making all component movements terminate at the same time, despite differentially timed onsets. Therefore, we assume that the three synergies of our hand model are controlled by their own VITE circuits, with separately initiated GO signals. A mechanism is also needed to reset these GO signals before the onset of a new movement by each synergy.

Such a decomposition of hand movements into independently controllable, but temporally overlapping, synergies is analogous to the decomposition of speech articulators into coordinative structures (Fowler 1980). In the case of hand and arm movement, various data support the idea that multiple finger, hand and arm movement synergies can be separately controlled during complex movements. For example, Lacquaniti et al. (1987) found that while arm movements are characterized by constant phase relations between shoulder and elbow motion, hand movements exhibit more variable phases (see also Jeannerod 1988). Moreover, the proposal that multiple GO signal channels exist is consistent with data on the proposed anatomical site of GO signal generation, namely the basal ganglia (see Bullock and Grossberg 1991). Recent reports indicate that pathways through the basal ganglia maintain somatotopy, or motor-channel specificity (Parent 1990), and work summarized by Golani (1992) implicates the basal ganglia in the delimitation or gating of which degrees of freedom should be included in a wide variety of synergies.

#### 6 Model equations

The equations that govern the dynamics of the multichannel VITE circuit that is simulated herein are now described. The TPV is denoted by  $T = (T_1, T_2, ..., T_n)$ , the PPV by  $P = (P_1, P_2, ..., P_n)$ , the movement vector  $DV_m$  by  $V = (V_1, V_2, ..., V_n)$ , the planning vector  $DV_p$ by  $D = (D_1, D_2, ..., D_n)$ , the GRO signal by  $S = (S_1, S_2, ..., S_n)$ , and the GO signal by G = $(G_1, G_2, ..., G_n)$ , where index *i* denotes the *i*th motor synergy.

Target position vector

$$T_i(t_{i,j+1}) = T_i(t_{ij}) + S_i D_i(t_{ij})$$
(3)

The TPV receives planning inputs from higher processing stages. The planning vectors  $D_i(t_{ij})$  are the components of the motor programs. They embody directional commands whose size, scaled by  $S_i$ , determines the distance travelled by a synergy. At launch times  $t_{ij}$ , j = 1, ..., n, the *j*th planning vector  $D_i(t_{ij})$ , scaled by  $S_i$ , is added to the *i*th channel of the TPV.

Difference vector

$$\frac{\mathrm{d}}{\mathrm{d}t}V_i = \alpha(-V_i + T_i - P_i) \tag{4}$$

Equation (4) simplifies the original VITE equations (Bullock and Grossberg 1988), which used an opponent push-pull mechanism to avoid negative values for  $V_i$ . Here, agonist and antagonist activations are lumped into one variable by allowing negative values.

#### GO signal

$$G_i(t) = G_0(t - t_{ij})^n \quad t_{ij} \le t < t^*_{ij}, \quad j = 1, \dots, n$$
(5)

where  $G_0$  is a constant and  $t_{ij}$  is the *j*th time at which component i is launched. The GO signal grows monotonically until time  $t_{ij}^*$ , when it is reset to zero. This stereotyped and repetitive GO signal rule is capable of generating arbitrary cursive script letters. In all simulations, n = 1.4, which produces nearly symmetrical bellshaped velocity profiles. Equation (5) for the growth of the GO signal is used wholly for convenience. Bullock and Grossberg (1988) showed that many psychophysical properties of arm movements could be fit by a wide variety of GO signal shapes. In particular they showed that a physically plausible GO signal could be generated by a cascade of two or more neurons activated by a step function input. In the VITE model, using a cascade to generate a GO signal is one of two determinants of the velocity profile, the DV being the other; in the Plamondon (1989) model, a much longer cascade is used to generate the entire velocity profile.

Present position vector

$$\frac{\mathrm{d}}{\mathrm{d}t}P_i = V_i G_i \tag{6}$$

The PPV integrates its input signals at the rate  $V_iG_i$ .

#### 7 Control of GO signal phase relations

To produce the smooth, curved trajectories of script, synergy DV  $_p$  directions and GO signal onsets need to be appropriately timed. The directions and onset lags of different synergies determine script curvature. Furthermore, in order to generate a letter shape, elementary strokes need to be joined together smoothly. While in this paper we do not discuss the self-organizing process that discovers, learns, and stores representations of movement commands, we do suggest what these commands may be and how their onset times may be controlled to generate cursive letter shape trajectories as emergent properties of a multi-VITE circuit.

The onset timing for the next stroke in a motor program could be determined in two ways: Either the time of launching the next stroke is a parameter of the motor program (cf. Schomaker et al. 1989), or some event in the controller itself, or even downstream from the controller, triggers execution of the next stroke. The first possibility faces the difficulty that the motor program may not be able to compensate for changes in stroke size and speed of execution. For example, unless the timing of successive onsets could automatically compensate for such motor variability, the shape of a trajectory could be very different at different execution speeds.

If triggering a successive stroke is contingent on a characteristic event in the velocity trace of the controller, then this problem can be avoided, since onset lags then shift automatically with speed of execution. An outflow representation of each synergy's velocity is available in the VITE model in the form of the activity functions at the DV  $_m$  · GO processing stage (see Sect. 4). Such an outflow representation avoids the instability problems that could otherwise occur if delayed inflow signals from the muscles themselves were used. Our simulations have shown that two events are suitable to launch a stroke: Times when all velocities are close to zero, and times at the peak of one or more velocity traces. These two types of events are called a *postural launch* (detected by a match between TPV and PPV) and a dynamic launch (detected by a peak in one or more velocity profiles). Figure 6 schematizes a dynamic launch: A peak in one of the velocity profiles (point B in Fig. 6) can launch a new movement by triggering read-in of new targets and reset of their respective GO signals. The new targets may be zero for some or all components (Fig. 6, points A and C). The other type of event, a point of zero velocity, can also trigger new movement (Fig. 6, point D). Thus the launch times  $t_{ij}$  in (5) occur either when synergy i is at rest or when the outflow speed command DV GO of another synergy reaches a maximal size. Reset occurs at times  $t_{ii}^*$  when the PPV of the synergy equals its TPV. The model is robust with respect to changes in command timing. Perturbing onset timing results in rounder shapes if a dynamic launch occurs before the peak of another velocity profile and edgier shapes if the launch occurs after the peak.

If a new target is launched only at the occurrence of these two types of events, then the phase relations between any two component velocity traces are limited to



Fig. 6. Dynamic and postural launches. Peaks in the velocity profile can launch new movement by triggering read-in of new targets and reset of the GO signal for other components with non-zero velocity (points A and B trigger a *dynamic* launch, while in case C all targets are zero, such that no movement is launched). Zero velocity can also trigger new movement (point D). This is called a *postural* launch

either 0 deg or 90 deg. With this scheme, the variables characterizing the motor program are merely planning vectors, or DV ps, that can be stored in a sequential working memory (e.g., Grossberg 1982; Bradski et al. 1992; Mannes 1992), whose entire vector plan can be learned and read out from a single unitized planning chunk, or set of chunks (Grossberg 1982, Chap. 12; Cohen and Grossberg 1986, 1987; Carpenter and Grossberg 1991). Each peak and zero in the outflow velocity trace  $DV_m \cdot GO$  can activate read-out of the next  $DV_p$ from the working memory, as in Fig. 1. Such a DV, reads a new directional movement command into the TPV of the VITE circuit. Each DV , also activates the GO signal of the corresponding synergy. In the present simulations, the TPV commands point in the independent X, Y and R directions. Their amplitudes equal the maximal excursion of the letter in that direction. The order, timing and size of these synergy commands determine the curvature of the movement. All the stored commands in the vector plan that characterizes a letter in this scheme are generated at discrete times in independent directions. The VITE model automatically converts these temporally discrete commands into continuously curved trajectories of appropriate shape. Such a controller affords a huge compression of the commands needed to generate cursive script. We now summarize simulation experiments that we performed with the VITEWRITE model.

#### 8 Simulations of cursive script

An example of a script letter b is shown in Fig. 7. The motor program – that is, the sequence of directional targets for the controller – is summarized in Table 1. Each row in Table 1 corresponds to a stroke segment shown in the small panels in the lower right side portion of Fig. 7.



Fig. 7. An example showing how to generate the end-effector trajectory drawn in the left panel.  $V_x$ ,  $V_y$  denote X and Y velocities, respectively. GO signal values for each of these components are plotted below the velocity profiles. The smaller panels labeled 1–10 show the end-effector trajectory during the time interval along the axis which the panels touch above

 Table 1. Notation for a motor program, characterizing the letter shape shown in Fig. 6

.

Half-cycle	х	Y	R
1	10	0	0
2	(10)	110	0
3	- 10	(110)	0
4	(-10)	- 110	0
5	40	(-110)	0
6	(40)	60	0
7	- 10	(60)	0
8	(-10)	- 15	0
9	30	(-15)	• 0
10	(30)	- 10	0

X is launched first, with a target of 10 length units (corresponding to about 5 mm). During the next half-cycle, which is launched at the velocity peak of the X motion, an upward (Y) motion of 110 units is executed. At the Y velocity peak, an X motion in the other direction is triggered. This temporally overlapping succession of X and Y is continued until the last pattern of the motor program, which launches no component, and so movement comes to a halt. Numbers in parentheses denote the TPV<sub>i</sub> during the second half-cycle, i.e. the decreasing part of the velocity profile

To start with, an X motion to the right is launched (stroke segment 1 in Fig. 7 and half-cycle 1 in Table 1). At the time when X reaches maximum velocity, a Y motion upwards is launched (stroke 2). At the peak of this Y motion, a small X motion to the left is launched (stroke 3), and so forth. The letter b is a relatively simple example because the trajectory of this letter is a variation of a circle, but with different amplitudes for X and Y in every stroke. The similarity to a circular trajectory can also easily be seen by the up-down alternation of the velocity profiles.

A more difficult example, the letter a, involves a richer set of maneuvers and the third DOF, as shown in Fig. 8. The first component to be launched in this case is R, which rotates the hand a little to the left (stroke 1), followed by an upward movement (stroke 2). Instead of launching R again, a rightward X movement (of similar effect) is launched (stroke 3). At the peak of this X movement, all targets are zero, such that the total movement comes to a stop at the top of the letter. Stroke 4 undoes



Fig. 8. Another example showing how to generate the end-effector trajectory drawn in the left-panel, using the third DOF.  $V_x$ ,  $V_y$ ,  $V_r$ denote X, Y and R velocities, respectively. GO signal values for each of these components are plotted below the velocity profiles. The smaller panels labeled 1-10 show the end-effector trajectory during the time interval along the axis which the panels touch above

1

the effect of R to some extent by rotating to the left, followed by a downward movement (stroke 5). At the peak of the downward movement, a rightward movement begins (stroke 6), followed by an upward movement (stroke 7). Again, no movement is initiated at the peak of this last movement, so everything comes to a halt, which gives the system a chance to reverse direction.

The horizontal wrist rotation component, R, produces end-effector movements very similar to X movements, at least at small scales. This redundancy makes possible some strokes that would otherwise require more complex control strategies. Examples are the strokes shown in Fig. 3 and in panel 2 of Fig. 8. Furthermore, redundancy allows for letters to be produced in different ways. For example, consider the beginning right-upward stroke of most letters: This type of stroke can be achieved by any of the following component movement sequences: X right, Y up, R right; R right, Y up, X right; or R right, X and Y in phase obliquely up, R right. In the present simulations, control strategies were chosen such that the redundant DOFs X and R were not activated concurrently, in order to produce similar strokes and letters in a consistent way. Some of these choices are discussed in the next section.

## 9 Elements of style in writing connected words

Redundancy allows similar shapes to be realized by different motor programs; for example compare the letter b shape in Fig. 7 with the one in Fig. 9. Homogeneous appearance of script and the need to connect letters into words suggests, however, that a consistent style should be used. Especially with regard to connecting letters, consistent beginnings and endings of letter shapes are highly desirable. Also, in order to change style parameters – such as slant and width versus height – letters should be stroked in a consistent fashion. For example, if a stroke leading up and to the right were realized by X right, Y up, X right, the slant would be fixed. On the



Fig. 9. Some more examples of letter shapes. To the right of each letter, the three velocity profiles (X, Y and R from top to bottom) are given. All plots are on the same scale: the end-effector trajectory is plotted from 0 to 10 mm horizontally and from 0 to 20 mm vertically. Velocity profile plots: time, on the horizontal axis, runs from 0 to 15,  $V_x$  from -50 to 50,  $V_{\star}$  from -100 to  $100, V_{\star} - 0.05$ to 0.05. The smaller excursion of r is due to the fact that r is an angle, while x and y are distances. Simulation parameters:  $\alpha = 10$ , l = 200. Longest motor program: letters q and w with 13 motor commands. Medium (10-12 commands): a, d, g, k, m, o, p, y. Short (6-9 commands): b, c, f, h, j, l, n, r, s, t, u, v, z. Shortest programs: e, i (not shown), and I with 4 commands, i.e. 12 numbers characterizing a letter. The letters were modelled after the Palmer method of handwriting



Fig. 10. An example of connecting letters by simply concatenating individual motor programs

other hand, if the same stroke is realized by R right, X right/Y up in phase, R right, then scaling X and Y targets by different amounts can produce the same letter with different slant. The letters of the alphabet shown in Fig. 9 were constructed with these constraints in mind. Thus, all letters shown in Fig. 9 are using X and Y in phase for vertical/oblique strokes, and R for horizontal movements. Each letter consistently begins with a straight oblique X/Y stroke and ends with a rightward R stroke. As a result of using a consistent stylistic

A DESCRIPTION OF A DESC

strategy for each letter, these shapes can be effortlessly connected into word shapes, of which an example is depicted in Fig. 10.

A further advantage of using a consistent set of strokes is the ability to scale the size and slant of letter shapes by simply scaling the elements of the motor program differentially. Some example of such variations are shown in Fig. 11.

# 10 Size, speed, slant and curvature invariance

Some aspects of the kinematics of handwriting trajectories are invariant with respect to variations in starting point, slant and size (Viviani and Terzuolo 1980; Morasso 1981). These invariances are also exhibited by the model. Figure 11 displays variations of a trajectory achieved by differentially scaling the elements of the motor program. Here, each planning vector component  $D_i$  and TPV i is multiplied by a different GRO scalar  $S_i$ . While the results can look quite different, the component velocity profiles are the same for all examples in Fig. 11, except for their relative magnitude. Uniform size scaling of the motor program - that is multiplying each component  $D_i$  of TPV i by the same GRO scalar S – modifies the size of the performed letters but leaves the trajectory shape invariant. Figure 12a-c shows the letter b performed with uniformly scaled GRO movement commands. The simplified geometrical model defined in (1) and (2) produces perfect shape invariances under size scaling. If a more elaborate geometrical model of the hand is used, as in Fig. 12d-f, extreme finger angles at the border of the workspace produce distortions.

Shape invariance under speed rescaling is demonstrated in Fig. 13, which shows the same letter performed at "normal" and at double speed, achieved by scaling the



Fig. 11a-d. Effect of scaling component targets. a An unscaled version of a word composed of the letter programs in Fig. 9. b The same word written with all X targets multiplied by  $S_x = 2$ , Y targets by  $S_y = 0.6$ . c, d Another version: c  $S_x = 0.7$ ,  $S_y = 1$ ,  $S_r = 0.4$ ; d  $S_x = 2.5$ ,  $S'_y = 1$ ,  $S_r = 0.3$ 



Fig. 12a-f. Shape invariance with two different hand geometries. a-c Perfect shape invariance of the letter b, scaled to three different sizes by choosing three different values for the GRO parameter S. The trajectories were reduced to fit in the panels. The numbers in the corners of each panel indicate the panel's original size in millimeters prior to reduction. The end-effector position was calculated using (1) and (2). d-f The result of a simulation that used a different hand model to calculate end effector position. Instead of taking the x and y axes as an orthogonal system rotated by r in the plane, a 3-D model of the hand was used. The shoulder was fixed at (0,0,0), the pen tip was constrained to touch the drawing surface ( $E_z = 0$ ), and  $E_x$ ,  $E_y$  were calculated as  $E_x = c \sin(r + \gamma)$ ,  $E_y = c \cos(r + \gamma)$ , where  $c = 2l \sin(y/2)$  and  $\gamma = \pi - y \sin(x)$ . Using this geometry, extreme ranges (f) produce distortion effects

GO signal via parameter  $G_0$  in (5). This simulation assumes that new synergies are instantaneously launched at the velocity maxima of other synergies. The more realistic assumption that a small but finite reaction time is needed to launch would not substantially influence the invariance result until speeds were attained at which the duration of each synergy was no longer very much greater than the reaction time. Then the smooth curvature of the letter shape would begin to deteriorate, leading to straighter trajectories followed by more sudden changes of curvature.

The ease with which size and speed invariance are demonstrated in the VITEWRITE model derives from the model's use of DVs to control updating of the TPV in (3) and of the PPV in (6). Once DV control is available, scalar GRO and GO signals can transform a stereotyped series of DVs into motor performances whose sizes and speeds can be adjusted to match variable environmental conditions (Grossberg et al. 1992). Models which utilize



Fig. 13a, b. Shape invariance under speed rescaling. The same motor program is executed at two different speeds, simulated by scaling the magnitude of the GO signal. a The letter b executed at a "normal" speed  $(G_0 = 1)$ ; b the same letter executed at twice that speed  $(G_0 = 2)$ 



Fig. 14a, b. Relationship between pen tip (tangential) velocity V(t) and curvature for the letter b. The simulated pen-tip trajectory x(t), y(t) was least-squares fitted to a polynomial. Velocity was computed as  $V(t) = (\dot{x}^2 + \dot{y}^2)^{1/2}$  and curvature using the formula  $C(t) = (\ddot{x}\ddot{y} - \dot{y}\ddot{x})/V(t)^3$ . a Curvature and velocity, which show the expected inverse relationship. b Comparison of the velocity V(t) with the predicted curvature  $kR(t)^{1/3}$ , k = 10, according to the two-thirds power law (Wann et al. 1988)

DVs for their spatial and trajectory control have generically been called vector associative maps, or VAMs (Gaudiano and Grossberg 1991).

Another widely observed invariant of movement is the strong coupling between velocity and curvature (Morasso 1981; Abend et al. 1982). In general, peaks in the curvature profile occur at troughs in the velocity profile. Lacquaniti et al. (1983) formulated a "two-thirds power law" to describe the empirical relation between curvature and velocity. This law says that angular velocity A(t) relates to curvature C(t) as  $A(t) = kC(t)^{2/3}$ , which can be rewritten for tangential velocity V(t) as  $V(t) = kR(t)^{1/3}$ , where R(t) = 1/C(t) denotes the radius of curvature. Figure 14a

plots model curvature and model tangential velocity for the letter "b"; Fig. 14b plots model tangential velocity alongside the tangential velocity predicted from model curvature by the two-thirds power law. The agreement is close but not perfect. In fact, an adequate model of human performance does not have to agree perfectly with the two-thirds power function, because the latter is an imperfect descriptor, as observed by Wann et al (1988). The latter authors also note that one basis for the discrepancy is that human velocity profiles are not perfectly symmetrical about the peak velocity value. VITE velocity profiles show the same duration-dependent deviation from perfect symmetry that is exhibited by human actors (Bullock and Grossberg 1988, 1991; Nagasaki 1989).

# 11 Concluding remarks

The VITEWRITE model demonstrates how a multichannel VITE trajectory generator, controlling a suitably designed hand with redundant degrees of freedom, enables a simple motor program to generate complex curvilinear movements that have many of the properties that humans exhibit when they produce cursive script. The processing stages of the VITE model have previously been shown capable of controlling properties of movement synergy, synchrony, and speed during reaching behaviors. Here the same processing stages enable a simple type of motor program to control spatially and temporally rescalable handwriting.

In particular, the existence of a  $DV_m \cdot GO$  processing stage enables the VITE model to trigger read-out of new motor commands at peak values of a synergy's outflow velocity profile. Using this trigger, the  $DV_ms$  that update the TPV and the PPV processing stages may be modulated by volitional GO signals that rescale the speed of handwriting without changing its form. Likewise, the use of a motor program that consists of planning vectors  $DV_p$  enable volitional GRO signals to rescale the size of handwriting without changing its form. The VITEWRITE model thus provides a flexible new neural model for handwriting control while offering additional evidence that the processing stages of VITE controllers, and more generally of VAM controllers, may be put to multiple uses by the brain towards generating complex motor behaviors.

Acknowledgement. The authors wish to thank Robin Locke for her valuable assistance in the preparation of the manuscript.

#### References

- Abend W, Bizzi E, Morasso P (1982) Human arm trajectory formation. Brain 105:331-348
- Bradski G, Carpenter GA, Grossberg S (1992) Working memory networks for learning temporal order with application to 3-D visual object recognition. Neural Computation 4:270-286
- Bullock D, Grossberg S (1988) Neural dynamics of planned arm movements: emergent invariants and speed-accuracy properties during trajectory formation. Psychol Rev 95:49-90
- Bullock D, Grossberg S (1991) Adaptive neural networks for control of movement trajectories invariant under speed and force rescaling. Hum Mov Sci 10:3-53

- Bullock D, Grossberg S, Guenther F (1993) A self-organizing neural model of motor equivalent reaching and tool use by a multijoint arm. J Cogn Neurosci (in press)
- Carpenter GA, Grossberg S (1991) Pattern recognition by self-organizing neural networks MIT Press, Cambridge, Mass
- Cohen M, Grossberg S (1986) Neural dynamics of speech and language coding: developmental programs, perceptual grouping, and competition for short term memory. Hum Neurobiol 5:1-22
- Cohen M, Grossberg S (1987) Masking fields: a massively parallel neural architecture for learning, recognizing, and predicting multiple groupings of patterned data. Applied Optics 26:1866-1891
- Dooijes EH (1983) Analysis of handwriting movements. Acta Psychol 54:99-114
- Edelman S, Flash T (1987) A model of handwriting. Biol Cybern 57:25-36
- Fetters L, Todd J (1987) Quantitative assessment of infant reaching movements. J Mot Behav 19:147-166
- Fowler C (1980) Coarticulation and theories of extrinsic timing. J Phonetics 8:113-133
- Gaudiano P, Grossberg S (1991) Vector associative maps: unsupervised real-time error-based learning and control of movement trajectories. Neural Networks 4:147-183
- Georgopoulos AP, Kalaska JF, Caminiti R, Massey JT (1984) The representation of movement direction in the motor cortex: single cell and population studies. In: Edelman GM, Gall WE, Cowan WM (eds) Dynamic aspects of neocortical function. Wiley, New York, pp 501-524
- Golani 1 (1992) A mobility gradient in the organization of vertebrate movement: the perception of movement through symbolic language. Behav Brain Sci 15:249-308
- Greve D, Grossberg S, Guenther F, Bullock D (1992) Neural representations for sensory-motor control. I Head-centered 3-D target positions from opponent eye commands. Acta Psychol 82:115–138
- Grossberg S (1982) Studies of mind and brain: neural principles of learning, perception, development, cognition and motor control. Reidel Press, Boston
- Grossberg S, Guenther F, Bullock D, Greve D (1992) Neural representations for sensory-motor control. II Learning a head-centered visuomotor representation of 3-D target position. Neural Networks 6:43-67
- Hollerbach JM, Moore SP, Atkeson CG (1986) Workspace effect in arm movement kinematics derived by joint interpolation. In: Gatchev G, Dimitrov B, Gatev P (eds) Motor control. Plenum Press, New York
- Jeannerod M (1988) The neural and behavioral organization of goaldirected movements. Clarendon Press, Oxford
- Lacquaniti F, Terzuolo C, Viviani P (1983) The law relating kinematic and figural aspects of drawing movements. Acta Psychol 54:115-130
- Lacquaniti F, Ferrigno G, Pedotti A, Soechting JF, Terzuolo C (1987) Changes in spatial scale in drawing and handwriting: kinematic contributions by proximal and distal joints. J Neurosci 7(3):819-828
- Mannes C (1992) A neural network model of spatio-temporal recognition, recall, and timing. Proceedings of the International Joint Conference on Neural Networks, Baltimore, IEEE Press, Piscataway, New Jersey IV, pp 109-114
- Morasso P (1981) Spatial control of arm movements. Exp Brain Res 42:223-227
- Morasso P (1986) Trajectory formation. In: Morasso P, Tagliasco V (eds) Human movement understanding. North-Holland, Amsterdam
- Morasso P, Mussa-Ivaldi FA (1982) Trajectory formation and handwriting: a computational model. Biol Cybern 45:131-142
- Morasso P, Mussa-Ivaldi FA, Ruggiero C (1983) How a discontinuous mechanism can produce continuous patterns in trajectory formation and handwriting. Acta Psychol 54:83-98
- Nagasaki H (1989) Asymmetric velocity profiles and acceleration profiles of human arm movements. Exp Brain Res 74:319-326

- Parent A (1990) Extrinsic connections of the basal ganglia. Trends Neurosci 13:254-258
- Plamondon R (1989) Handwriting control: a functional model. In: Cotterill RMJ (ed) Models of brain function. University Press, Cambridge
- Plamondon R (1992) A model-based segmentation framework for computer processing of handwriting. Proceedings of the 11th International Conference on Pattern Recognition, IEEE Computer Society Press, Los Alamitos, California, pp 303-307
- Schomaker L, Thomassen A, Teulings HL (1989) A computational model of cursive handwriting. In: Plamondon R, Suen CY, Simner ML (eds) Computer recognition and human production of handwriting. World Scientific, Singapore, pp 153-177
- Soechting JF, Terzuolo CA (1987) Organization of arm movements is segmented. Neuroscience 23(1):39-51
- Teulings HL, Thomassen A, Galen GP van (1986) Invariants in handwriting: the information contained in a motor program. In: Kao HS, Galen GP van, Hoosain R (eds) Graphonomics: contemporary research in handwriting. Elsevier, Amsterdam, pp 305-315
- Viviani P, Terzuolo CA (1980) Space-time invariance in learned motor skills. In: Stelmach GE, Requin J (eds) Tutorials in motor behaviour. North-Holland, Amsterdam, pp 525-533
- Viviani P, Terzuolo CA (1983) The organization of movement in handwriting and typing. In: Butterworth B (ed) Language production 2. Academic Press, New York, pp 103-146
- Wann J, Nimmo-Smith I, Wing AM (1988) Relation between velocity and curvature in movement: equivalence and divergence between a power law and a minimum-jerk model. J Exp Psychol Hum Percept Perform 14(4):622-637